
Floor mounted household solar container battery cabinet

The SEC conducts examinations of investment advisers, investment companies, broker-dealers, self-regulatory organizations, clearing agencies, transfer agents, and other ...

SEC.gov will be undergoing maintenance from 9 p.m. ET to 11 p.m. ET on Tuesday, Dec. 16. During this time, the following forms will not be available. All other parts of the ...

The Act empowers the SEC with broad authority over all aspects of the securities industry. This includes the power to register, regulate, and oversee brokerage firms, transfer ...

The SEC does not require companies that are raising less than \$1 million under Rule 504 of Regulation D to be "registered" with the SEC, but these companies are required to ...

The SEC filed more than 40 enforcement actions from Jan. 1, 2025, through Jan. 17, 2025, indicating that the Division's high level of productivity continues into the second ...

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Reports and Publications This listing includes periodic SEC reports and publications. See also FOIA Frequently Requested Documents and SEC Data Resources for periodic data ...

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